

Paul Flenley and Michael Mannin

Introduction

The publication of this volume comes at a time of existential crisis for the European Union (EU). Internally it is faced by the Eurozone crisis, the rise of anti-EU populism and 'Brexit'. In its immediate neighbourhood it is confronted by a range of challenges and threats. The Arab revolutions have not turned into the hoped-for promise of democratisation and have instead degenerated into civil war in the case of Libya, Syria and Yemen. The direct impact of this on the EU is illustrated by the migration crisis which has reinforced tensions at the heart of the EU itself. In the Eastern part of the neighbourhood, a resurgent Russia has not only provided an alternative pole of attraction but also appears to directly challenge the values of the EU. According to some commentators, Russia's political model is being projected as an alternative, not only for some neighbours but also for certain member states of the EU itself. Through the annexation of Crimea and support for the rebels in Donbas, Russia also seems to be challenging some of the basic assumptions of the post-Cold War era in Europe. The EU's response to this in the form of sanctions also exposes tensions between the member states of the EU.

In the Balkans the path to stability and democratisation is still not assured. In the past, the EU's traditional approach to instability in the neighbourhood has been to rely on the power and attractiveness of its pull as a normative power. There appeared to be no alternative to the pursuit of democracy and economic modernisation epitomised by the EU. However, the capacity of the EU to exert such external influence appears to be limited for the time being by its preoccupation with the internal crises within the EU itself and the strength and attractiveness of countervailing forces both domestically and externally in the neighbourhood countries. The context is further complicated by the uncertainties placed over the nature of future EU–US–Russia relations posed by the Trump administration.

The term applied to the process of the EU seeking to influence the neighbourhood is 'Europeanisation' or 'EU-isation' – the focus of this volume. Most books and articles on the subject of Europeanisation in the Eastern





neighbourhood have concentrated largely on the process of policy transfer and the foreign policy aspects of relations (see Weber, Smith and Baun, 2007). There have been several effective studies of the European Neighbourhood Policy (ENP) in particular (for example, Korosteleva, 2012; Noutcheva, Pomorska and Bosse, 2013). This volume differs in that it seeks to explore the problems which the EU has had in projecting EU-isation onto its Eastern neighbourhood by focusing on the way in which interests, values and identity interact with each other in the neighbourhood and between the neighbourhood and the EU itself to shape the nature of EU-isation. In doing so the volume takes as its focus countries in the Eastern neighbourhood which, in one way or another demonstrate, a European perspective as part of their identity. The latter does not necessarily mean that they seek to be members of the EU and it may be that a European identity is internally disputed and a cause of conflict. The volume therefore considers not only the countries that are part of the ENP, but also looks at Russia, Turkey and the Balkans. What these countries all have in common is that the concepts of Europe, European identity and Europeanisation are key elements in their politics and identity. They may be interpreted in different ways and be interacting with different values and interests. A key theme of this volume is to show how these interactions affect the ways in which EU-isation occurs.

In order to facilitate this approach this volume is divided into three parts. Part I consists of three chapters which set out the key parameters and frameworks which inform the discussions in the following chapters. Michael Mannin explores the origins of the term 'Europeanisation' and the way in which its contemporary iteration – EU-isation – has become associated with the normative power of the EU. He argues that alternative interpretations and traditions of Europeanisation contest the EU-dominated version. This is a key factor in shaping reaction to the EU's influence in the neighbourhood countries such as Russia. Nora Siklodi discusses the concept of European identity, indicating that there are different levels of identity of which a European consciousness can be just one. She explores the particular approach the European Commission has taken in articulating the notion of European identity. This EU perspective on European identity can be a source of fundamental problems when it confronts other identities and approaches to being European, such as in the Balkans and Russia. Paul Flenley provides an overview of different mechanisms which the EU uses to promote EU-isation in the neighbourhood and discusses the limits of conditionality when membership is not on offer. He considers the lack of symmetry between the interests of the EU and its neighbours, the lack of a resonance with the interests of domestic elites, the impact of a powerful alternative pole in the Eastern neighbourhood (namely Russia) and, finally, the way effective EU-isation is undermined by divisions within the EU itself.

In Part II the themes and concepts discussed in Part I are examined in more detail through a number of country/area specific chapters. Tatiana





Romanova's chapter powerfully states the salience of Russia in establishing an alternative geopolitical pole to the EU. Russia asserts that its European identity is not dependent on approval from the EU. Romanova shows that Russia has an alternative interpretation of Europeanisation and European values based on its history and culture. Any EU-isation in Russia is instrumental and Russia is keen to limit its effect in the shared neighbourhood. Nadiia Bureiko and Teodor Lucian Moga's chapter on Ukraine is the first of three chapters which consider the position of those states which are 'inbetweeners' - that is, pulled between the EU and Russia. They depict a country that is divided over its future direction, attempting to pursue a multi-vector foreign policy out of necessity and which contains differences over its adherence to European identity. For the authors, the experience of being an 'in-betweener' is a key limitation on the effectiveness of EU-isation. Kamil Całus and Marcin Kosienkowski's study of Moldova reveals similar constraints for EU-isation of another 'in-betweener'. In this case the chapter reveals the way 'Europeanisation' can actually mean 'modernisation'. However, in reality there has been a lack of reform in such areas as corruption. The presence of Russia in the form of the Eurasian Economic Union appears to play the role of being not only an alternative economic pole but also a way of preserving traditional conservative values in contrast to the uncomfortable challenges of EU-isation.

Belarus is also an 'in-betweener' country apparently caught between the choice of EU and Russia. Kiryl Kascian, however, shows that this is not a choice which the Belarusian authorities wish to make - they instead seek a relationship with both the EU and Russia. Belarus provides perhaps the starkest example of the limitations of the EU's values-based approach to its neighbours. Belarus's domestic political system and its prioritisation of the relationship with Russia mean that the EU lacks any real impact. Belarus does, however, seek a more pragmatic, interest-based relationship in contrast to the EU's values-based approach which appears to be going nowhere. The chapter also raises the problems of the perception of European identity promoted through EU-isation. While Belarus considers itself European and at the centre of a wider Europe, EU-isation, as it is currently configured, relegates it to the periphery. This theme of differing perceptions of European identity and the consequences of the narrow application of EU-isation recurs across the chapters and is re-addressed in the conclusion of this volume.

The Balkans' and Turkey's reception of EU-isation is not affected by the experience of being in-betweeners. However, here issues of identity are also still important in affecting the success of EU-isation. In the case of the Balkans, Monika Eriksen shows us that EU-isation is dependent on how far it accords with domestic narratives. Croatia and Serbia experience it differently to other Balkan states, for example. This chapter raises the question of how far EU-isation can fundamentally change values and promote





an EU-defined European identity when it confronts other local identities such as Balkan identity. Identity politics is also at the heart of Dimitris Tsarouhas's chapter on Turkey. Turkey's key role in contributing to the security of the EU is shown by the migration crisis. However, over a long period certain member states of the EU have questioned Turkey's suitability for EU membership on the basis of identity and values. In the case of Turkey therefore, the tensions between interests, identity and values which concern this volume are being played out most starkly.

Part III goes on to examine the issue of EU-isation and the relationship between values (norms), interests and identity from the point of view of various sectors/themes which cut across different neighbours and are core elements in their relations with the EU. In sectors such as energy, migration, security and trade, the EU often finds that its commitments to values/norms are challenged when it is confronted by the need to pursue its interests in the neighbourhood. One way round this has been to try to promote EU structures and principles of governance externally so that both values and interests can be aligned. However, the chapters in this part reveal the contradictions in this approach. Martin Dangerfield examines the ways in which EU-isation, in the form of a coherent approach to Russia in the sphere of politics, is not fully reflected in the bilateral approach based on interests preferred by member states when it comes to trade. Interestingly, he shows that the apparent alignment of values and interests of member states such as Hungary vis-à-vis Russia is not what it seems. The growing illiberal politics in countries such as Hungary and Poland has more to do with internal dynamics than any attraction of alternative norms coming from Putin's Russia. Trade relations with Russia have long been interestbased and not pursued as a reflection of shared norms. Edward Stoddard also shows that while there may be EU-isation in terms of a common approach to the structures of energy governance, EU-isation breaks down when it comes to 'pipeline politics'. Here and elsewhere in this book we see how values/norms can be Europeanised, but when it comes to the pursuit of interests in the areas of security, migration and energy, member states usually prefer bilateralism.

Igor Merheim-Eyre examines an area where EU values and interests appear to be currently in real tension – migration. Once again, while the EU institutions themselves may wish to promote values, individual member states are protecting their interests. He examines the ways in which the development of the single market and internal free movement has led to the need for greater control of the EU's external borders. In this context the neighbours are seen as having a responsibility to help protect the EU from migration from further afield. In acquiescing in this they are promised visa-free access. We see the application of conditionality by the EU, referred to in several chapters, used not to just to promote norms and values but to defend the EU's security interests. The EU may wish Turkey to be EU-ised





but more immediately it needs Turkey to stop migration into the EU from Syria.

In the chapters on the Balkans and Ukraine, identity is also tied to language use. Maria Stoicheva's chapter on language policy and Europeanisation examines an area which is overlooked in the studies of Europeanisation. She considers the ways in which the status of languages such as Russian changed in the Eastern neighbourhood after the collapse of the Soviet Union. The 'Return to Europe' and Europeanisation have implications for language policy. Europeanisation may mean promoting English instead of Russian or moving from Cyrillic to the Latin script. The fact that Russian language speakers in Ukraine, for example, do not necessarily identify themselves as ethnic Russian and may have a Ukrainian and/or European identity raises the possibility that language use is being replaced by territorial divisions as the indicator of identity. Thus, her chapter shows that the relationship between geopolitics, policymaking and identity is played out at a much more profound level – that of language.

Finally, Kevork Oskanian and Derek Averre explore the relationship between security and democracy in the promotion of the EU's norms and interests. Their case study of the countries of the South Caucasus – Armenia, Azerbaijan and Georgia – provides a useful additional insight into neighbourhood countries which do manifest a European perspective but with different degrees of intensity. In the context of discussing the relevance of Democratic Peace Theory and the EU's perception that conflict resolution and security on its borders are best resolved through democratic governance, their chapter raises the question as to whether conflict is actually more likely when democratisation is incomplete and when there are variations between neighbouring countries between authoritarian systems and near-democracies. This seems to apply to conflicts in the South Caucasus, including the Russo-Georgia war, and indicates a clear limit to EU-isation as a means of ensuring stability and security on the EU's borders.

In the course of investigating the relationship between values, interests and identity and how this affects EU-isation, the chapters in this volume raise a range of common, cross-cutting themes. We note, first, the different interpretations and uses of Europeanisation throughout the neighbourhood. EU-isation in particular is often used by the neighbours for very different purposes to fulfil their own ends. Second, and connected to this, it becomes apparent that much of the reception of EU-isation is primarily instrumental – what is often referred to as 'thin' Europeanisation. There would seem to be a reluctance to engage in deeper, so-called 'thick' Europeanisation, which would have a more fundamental socialising dimension and perhaps produce real shifts towards a common European identity and the sharing of common European values with associated political consequences. Third, we see that one of the major obstacles to effective EU-isation is that it confronts entrenched domestic interests and networks. These domestic concerns may





not just be in the form of practices such as corruption, but may concern much more existential threats to the neighbour such as the attempted coup in Turkey and fragmentation of the state itself as in Ukraine. These are likely to preoccupy the neighbour more than adhering to the niceties of EU norms and values. Fourth, EU-isation confronts the immutable certainties of geopolitics in the neighbourhood. Eastern neighbours often have their own external constraints whether it be the imperative of engagement with Russia or – in the case of Turkey – dealing with the destabilising effects of the civil war next door in Syria. Finally, while the volume concentrates mainly on the neighbourhood and relations with the EU, EU-isation is affected by the extent to which the EU itself can operate as a coherent international actor. Divisions between member states and EU institutions also undermine the coherence and effectiveness of EU-isation.

The chapters raise two fundamental questions for consideration by both the EU and its Eastern neighbours. First, given the political, cultural, social, economic and strategic obstacles in the path of EU-isation as a transformative normative project, should the EU resign itself to a more instrumental, interest-based approach to neighbours? Second, an EU-led notion of European identity is (and perhaps always has been) challenged by competing notions of what it is to be European. How far should the EU reconstruct its notion of Europeanness in a way that facilitates the Eastern neighbourhood being included as 'core Europe' rather than as a transforming 'other'? These and other issues are examined in the following chapters.



